

Docket No. 290488US0PC



**MAIL STOP PCT**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Katsuhiko KOMURO, et al.

SERIAL NO: 10/577,948

GAU:

FILED: May 2, 2006

EXAMINER:

FOR: METHOD FOR PRODUCING CYCLIC ORGANIC SILICON COMPOUND AND ORGANIC SILICON RESIN  
HAVING ALCOHOLIC HYDROXYL GROUP

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

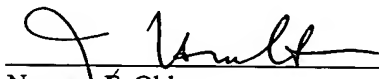
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon  
Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar  
Registration No. 34,423

James D. Hamilton  
Registration No. 28,421

3

U.S. PCT Application Serial No: 10/577,948

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Docket No. 290488 US

#### STATEMENT OF RELEVANCY

- 1) References AI-AJ and AT-AU have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AK-AS and AV-AY are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

290488US0PCT

SERIAL NO.

10/577,948

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Katsuhiko KOMURO, et al.

FILING DATE

May 2, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
				YES	NO	
	AI 7 149901	06/13/1995	JP (with English abstract)		NO	
	AJ 2003 98670	04/04/2003	JP (with English abstract)		NO	
	AK 2002 249493	09/06/2002	JP (with English abstract and computer generated translation)		NO	
	AL 2002 338583	11/27/2002	JP (with English abstract and computer generated translation)		NO	
	AM 8 231924	09/10/1996	JP (with English abstract and computer generated translation)		NO	
	AN 9 176321	07/08/1997	JP (with English abstract and computer generated translation)		NO	
	AO 2001 213963	08/07/2001	JP (with English abstract and computer generated translation)		NO	
	AP 2003 146832	05/21/2003	JP (with English abstract and computer generated translation)		NO	
	AQ 10 87834	04/07/1998	JP (with English abstract and computer generated translation)		NO	
	AR 11 116681	04/27/1999	JP (with English abstract and computer generated translation)		NO	
	AS 2003 149822	05/21/2003	JP (with English abstract and computer generated translation)		NO	

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AT	TROST et al., "MARKOVNIKOV ALKYNE HYDROSILYLATION CATALYZED BY RUTHENIUM COMPLEXES", JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, Vol. 123, No. 50, pages 12726-12727, 2001
AU	WALKUP et al., "EFFECTS OF VARIATIONS OF ALKOXY SUBSTITUENTS UPON CYCLIZATIONS OF DIALKOXY-2-CHLOROETHYLSILYL ENOL ETHERS TO FORM 2,2-DIALKOXY-1-OXA-2-SILACYCLOHEXANES <sup>1</sup> ", CHEMISTRY LETTERS, No. 7, pages 1055-1058, 1990
AV	TANINO et al., "CONTROL OF STEREOCHEMISTRY BY $\sigma$ -PARTICIPATION OF A SILYL GROUP. A NOVEL METHOD FOR DIASTEREOSELECTIVE POLYOL SYNTHESIS", J. ORG. CHEM., Vol. 62, pages 4206-4207, 1997
AW	BEAR et al., "DIASTEREOSELECTIVE NUCLEOPHILIC SUBSTITUTION REACTIONS OF OXASILACYCLOPENTANE ACETALS: APPLICATION OF THE 'INSIDE ATTACK' MODEL FOR REACTIONS OF FIVE-MEMBERED RING OXOCARBENIUM IONS", J. ORG. CHEM., Vol. 67, pages 2056-2064, 2002
AX	ROSSMY et al., "1,2-SILOXACYCLOALKANE- 1. MITT. SYNTHESE UND POLYMERISATIONSVERHALTEN", MAKROMOLEKULARE CHEMIE, Vol. 73, pages 85-108, 1964
AY	KOERNER et al., "1,2-SILOXACYCLOALKANE- 2. MITT SYNTHESE UND CHEMISCHES VERHALTEN VON 1,1'-DI-(1-METHYL-1,2-SILOXACYCLOPENTYL)-OXID", MAKROMOLEKULARE CHEMIE, Vol. 97, pages 241-247, 1996

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.